



# KAI SECURITIES PRIVATE LIMITED

## Details of Investor Grievance

| Trend of annual disposal of complaints |                    |                                    |                          |                          |                                |
|----------------------------------------|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| Sr No                                  | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
| 1                                      | 2017-18            | 0                                  | 0                        | 0                        | 0                              |
| 2                                      | 2018-19            | 0                                  | 0                        | 0                        | 0                              |
| 3                                      | 2019-20            | 0                                  | 0                        | 0                        | 0                              |
| 4                                      | 2020-21            | 0                                  | 0                        | 0                        | 0                              |
| 5                                      | 2021-22            | 0                                  | 0                        | 0                        | 0                              |
| 6                                      | 2022-23            | 0                                  | 1                        | 1                        | 0                              |
| 7                                      | 2023-24            | 0                                  | 0                        | 0                        | 0                              |
| 8                                      | 2024-25            | 0                                  | 0                        | 0                        | 0                              |
| 9                                      | 2025-26            | 0                                  | 0                        | 0                        | 0                              |
| 10                                     | 2026-27            | 0                                  | 0                        | 0                        | 0                              |
|                                        | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |

## Trend of Monthly disposal of complaints 2024-25

| Sr No | Month      | Carried forward from previous month                           | Received | Resolved | Pending |
|-------|------------|---------------------------------------------------------------|----------|----------|---------|
| 1     | April-2024 | 0                                                             | 0        | 0        | 0       |
| 2     | May-2024   | 0                                                             | 0        | 0        | 0       |
| 3     | Jun-2024   | 0                                                             | 0        | 0        | 0       |
| 4     | July-2024  | 0                                                             | 0        | 0        | 0       |
| 5     | Aug-2024   | 0                                                             | 0        | 0        | 0       |
| 6     | Sept-2024  | 0                                                             | 0        | 0        | 0       |
| 7     | Oct -2024  | 0                                                             | 0        | 0        | 0       |
| 8     | Nov-2024   | 0                                                             | 0        | 0        | 0       |
| 9     | Dec-2024   | 0                                                             | 0        | 0        | 0       |
| 10    | JAN-2025   | 0                                                             | 0        | 0        | 0       |
| 11    | FEB-2025   | 0                                                             | 0        | 0        | 0       |
| 12    | MAR-2025   | 0                                                             | 0        | 0        | 0       |
|       |            |                                                               |          |          |         |
|       |            | <b><u>Trend of Monthly disposal of complaints 2025-26</u></b> |          |          |         |
| Sr No | Month      | Carried forward from previous month                           | Received | Resolved | Pending |
| 01    | APR-2025   | 0                                                             | 0        | 0        | 0       |
| 02    | MAY-2025   | 0                                                             | 0        | 0        | 0       |
| 03    | JUN-2025   | 0                                                             | 0        | 0        | 0       |

|    |           |   |   |   |   |
|----|-----------|---|---|---|---|
| 04 | JULY-2025 | 0 | 0 | 0 | 0 |
| 05 | AUG-2025  | 0 | 0 | 0 | 0 |
| 06 | SEP-2025  | 0 | 0 | 0 | 0 |
| 07 | OCT-2025  | 0 | 0 | 0 | 0 |
| 08 | NOV-2025  | 0 | 0 | 0 | 0 |
| 09 | DEC-2025  | 0 | 0 | 0 | 0 |
| 10 | JAN-2026  | 0 | 0 | 0 | 0 |
| 11 | FEB-2026  | 0 | 0 | 0 | 0 |
| 11 | MAR-2026  | 0 | 0 | 0 | 0 |

**Trend of Monthly disposal of complaints 2026-27**

| Sr No | Month      | Carried forward from previous month | Received | Resolved | Pending |
|-------|------------|-------------------------------------|----------|----------|---------|
| 1     | April-2026 | 0                                   | 0        | 0        | 0       |
| 2     | May-2026   | 0                                   | 0        | 0        | 0       |
| 3     | June-2026  | 0                                   | 0        | 0        | 0       |
| 4     | July-2026  | 0                                   | 0        | 0        | 0       |

**Investor Complaints data for every month ending (Stock Broker - SB)**

| APRIL_2024 |                         |                                     |                          |               |          |                                 |                                |                                    |
|------------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN         | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|            |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1          | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2          | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3          | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4          | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|            | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| MAY_2024 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| JUNE_2024 |                         |                                     |                          |               |          |                                 |                                |                                    |
|-----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN        | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|           |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1         | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2         | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3         | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4         | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|           | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| JULY_2024 |                         |                                     |                          |               |          |                                 |                                |                                    |
|-----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN        | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|           |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1         | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |



| FEB_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| MAR_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| APR_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| MAY_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| JUN_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| JULY_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|-----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN        | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|           |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1         | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2         | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3         | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4         | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|           | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| AUG_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |

|   |                        |          |          |          |          |          |          |          |
|---|------------------------|----------|----------|----------|----------|----------|----------|----------|
| 3 | Stock Exchanges        | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
| 4 | Other Sources (if any) | 0        | 0        | 0        | 0        | 0        | 0        | 0        |
|   | <b>Grand Total</b>     | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> | <b>0</b> |

| SEP_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| OCT_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| NOV_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| DEC_2025 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| JAN_2026 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| FEB_2026 |                         |                                     |                          |               |          |                                 |                                |                                    |
|----------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN       | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|          |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1        | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2        | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3        | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 4        | Other Sources (if any)  | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
|          | <b>Grand Total</b>      | <b>0</b>                            | <b>0</b>                 | <b>0</b>      | <b>0</b> | <b>0</b>                        | <b>0</b>                       | <b>0</b>                           |

| MARCH_2026 |                         |                                     |                          |               |          |                                 |                                |                                    |
|------------|-------------------------|-------------------------------------|--------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
| SN         | Received from           | Carried forward from previous month | Received during te month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time ( in days) |
|            |                         |                                     |                          |               |          | pending for less than 3 months  | pending for more than 3 months |                                    |
| 1          | Directly from Investors | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 2          | SEBI (SCORES)           | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |
| 3          | Stock Exchanges         | 0                                   | 0                        | 0             | 0        | 0                               | 0                              | 0                                  |

